

01-19-06

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EV669633091US

PATENTS  
CF-12



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stewart Harris et al.  
Application No. : 09/755,591 Confirmation No. : 3838  
Filed : January 5, 2001  
For : SYSTEMS AND METHODS FOR MONITORING  
CREDIT OF TRADING COUNTERPARTIES  
Group Art Unit : 3624  
Examiner : Thu Thao Havan

Mail Stop AMENDMENT  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;  
☒ a Reply to Office Action; ☐ a Substitute Specification;  
☐ an Associate Power of Attorney; ☐ formal drawings; to be  
filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.  
☐ A fee for additional claims is required.

01/20/2006 HDEMESS1 00000121 061075 09755591

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The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$50	= \$ .00
INDEPENDENT CLAIMS	-	** =	X \$200	= \$ .00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$360	= \$ .00

\* If less than 20, insert 20. TOTAL \$ .00  
\*\* If less than 3, insert 3.

[ ] As a result of the amendment submitted herewith, this application now includes excess pages beyond those previously paid for. The number of additional groups of 50 excess pages resulting from this amendment is \_\_\_\_\_ x \$250 = \$\_\_\_\_\_.

[ ] A check in the amount of \_\_\_\_\_ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 000741-0012. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075, Order No. \_\_\_\_\_, in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[X] The following extension is applicable to the Response filed herewith; [ ] \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [X] \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [ ] \$2,160.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

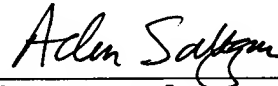
[X] Pursuant to 37 C.F.R. § 1.136(a), applicants hereby petition for a [ ] one-month extension of time; [X] two-month extension of time; [ ] three-month extension of time; [ ] four-month extension of time; [ ] five-month extension of time to respond to the Office Action dated September 6, 2005. With the extension, the time for replying is extended up to and including February 6, 2006.

[ ] A check in the amount of [ ] \$120.00; [ ] \$450.00; [ ] \$1,020.00; [ ] \$1,590.00; [ ] \$2,160.00 in payment of the extension fee is transmitted herewith.

[X] Please charge the [ ] \$120.00; [X] \$450.00; [ ] \$1,020.00; [ ] \$1,590.00; [ ] \$2,160.00 extension fee to Deposit Account No. 06-1075, Order No. 099999-0099. A duplicate copy of this transmittal letter is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 099999-0099. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



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PATENT APPLICATION

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Hon. Commissioner for Patents  
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EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV669633091US

Date of Deposit: January 17, 2006

I hereby certify that this certification and the  
following papers:

1. Transmittal Letter (in duplicate); and
2. Reply to Final Office Action

are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 C.F.R.  
§ 1.10 on the date indicated above and are addressed to Mail  
Stop AMENDMENT, Hon. Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

Name: Isatta B. Smith